

REPORT FOR: Governance, Audit and Risk Management Committee

Date of Meeting: 29 June 2010

Subject: 2010/11 Internal Audit Plan

Responsible Officer: Myfanwy Barrett, Corporate Director of Finance

Exempt: No

Enclosures: Appendix A: 2010/11 Internal Audit Plan 2010/11
Appendix B: 2010/11 Internal Audit Delivery Plan

Section 1 – Summary and Recommendations

This report sets out the 2010/11 Internal Audit Plan and the 2010/11 Internal Audit Delivery Plan.

Recommendations:

The Committee is requested to: Approve the 2010/11 Internal Audit Plan to fulfil the CIPFA Code of Practice for Internal Audit requirement to approve (but not direct) the Internal Audit Plan.

Section 2 – Report

Background

- 2.1 This report sets out the Internal Audit annual plan of work for 2010/11 (Appendix A) and the 2010/11 Internal Audit Delivery Plan (Appendix B). The projects set out in the plan are split between reliance/assurance reviews and risk based reviews. The risk reviews have been derived and prioritised from the review of the Corporate Strategic Risk/Opportunities Register, the Corporate Operational Risk Register, the governance framework, taking into account cumulative audit knowledge and input from managers including the Service Manager, Corporate Anti-fraud. The initial draft Internal Audit annual plan of work for 2010/11 was presented to the GARM Committee for comment at its last meeting and consultation has been undertaken with all Corporate Directors and the Chief Executive to narrow the plan down to key risk areas and a plan that is achievable with current Internal Audit resources.

Financial Implications

- 2.2 The functions of the Internal Audit service are delivered within the budget available.

Risk Management Implications

- 2.3 The work of internal audit supports the management of risks across the council and the Internal Plan is derived from the review of the Corporate Strategic Risk/Opportunities Register, the Corporate Operational Risk Register and risks identified by management.

Corporate Priorities

- 2.4 Internal Audit contributes to all the corporate priorities by enhancing the robustness of the control environment and governance mechanisms that directly or indirectly support these priorities:

- Deliver cleaner and safer streets
- Improve support for vulnerable people
- Build stronger communities

Section 3 - Statutory Officer Clearance

Name: Myfanwy Barrett



Chief Financial Officer

Date: 17 June 2010

Name: George Curran



on behalf of the
Monitoring Officer

Date: 14 June 2010

Section 4 - Contact Details and Background Papers

Contact: Susan Dixon, Service Manager, Internal Audit,
Tel: 0208 424 1420

Background Papers: None.

If appropriate, does the report include the following considerations?

1.	Consultation	YES
2.	Corporate Priorities	YES